

**APPLICATION FOR
FEDERAL ASSISTANCE**

OMB Approved No. 3076-0006

Version 7/03

1. TYPE OF SUBMISSION: Application <input type="checkbox"/> Construction <input checked="" type="checkbox"/> Non-Construction	<input type="checkbox"/> Pre-application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	2. DATE SUBMITTED 12/1/08	Applicant Identifier B-08-UC-01-001
		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier

5. APPLICANT INFORMATION	
Legal Name: Jefferson County, Alabama	Organizational Unit: Department: Office of Community Development
Organizational DUNS:	Division: Jefferson County, Alabama
Address: Street: 716 Richard Arrington Jr. Blvd. N., Suite A-430	Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Doctor First Name: Frederick
City: Birmingham	Middle Name: L.
County: Jefferson	Last Name: Hamilton
State: Alabama Zip Code: 35203	Suffix:
Country: USA	Email: hamiltonf@jccal.org

6. EMPLOYER IDENTIFICATION NUMBER (EIN): 63-6001579	Phone Number (give area code) 205-325-5761	Fax Number (give area code) 205-325-5095
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8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.)	7. TYPE OF APPLICANT: (See back of form for Application Types) Local Government (County) Other (specify)
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10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Community Development Block Grant - Neighborhood Stabilization Program	9. NAME OF FEDERAL AGENCY: U.S. Department of Housing and Urban Development (HUD)
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11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Neighborhood Stabilization Program
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12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Jefferson County Community Development Consortium

13. PROPOSED PROJECT Start Date: 3/1/09 Ending Date: 2/28/14	14. CONGRESSIONAL DISTRICTS OF: a. Applicant: Spencer Bachus & Artur Davis b. Project: 6 & part of 4 & 7
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15. ESTIMATED FUNDING:	16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?																					
<table border="1"> <tr><td>a. Federal</td><td>\$</td><td>2,237,876⁰⁰</td></tr> <tr><td>b. Applicant</td><td>\$</td><td>00</td></tr> <tr><td>c. State</td><td>\$</td><td>00</td></tr> <tr><td>d. Local</td><td>\$</td><td>00</td></tr> <tr><td>e. Other</td><td>\$</td><td>00</td></tr> <tr><td>f. Program Income</td><td>\$</td><td>00</td></tr> <tr><td>g. TOTAL</td><td>\$</td><td>2,237,876⁰⁰</td></tr> </table>	a. Federal	\$	2,237,876 ⁰⁰	b. Applicant	\$	00	c. State	\$	00	d. Local	\$	00	e. Other	\$	00	f. Program Income	\$	00	g. TOTAL	\$	2,237,876 ⁰⁰	a. Yes. <input type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: b. No. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
a. Federal	\$	2,237,876 ⁰⁰																				
b. Applicant	\$	00																				
c. State	\$	00																				
d. Local	\$	00																				
e. Other	\$	00																				
f. Program Income	\$	00																				
g. TOTAL	\$	2,237,876 ⁰⁰																				
	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No																					

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

a. Authorized Representative		
Prefix: Ms. Last Name: Collins	First Name: Bettye	Middle Name: Fine Suffix:
b. Title: President, Jefferson County Commission		c. Telephone Number (give area code): 205-325-5761
d. Signature of Authorized Representative: <i>Bettye Fine Collins</i>		e. Date Signed: 11-25-08

BUDGET INFORMATION - Non-Construction Programs

SECTION A - BUDGET SUMMARY

Grant Program Function or Activity (a)	Catalog of Federal Domestic Assistance Number (b)	Estimated Unobligated Funds		New or Revised Budget		Total (g)
		Federal (c)	Non-Federal (d)	Federal (e)	Non-Federal (f)	
1. NSP	14.218	\$	\$	\$ 2,237,876.00	\$	\$ 2,237,876.00
2.						0.00
3.						0.00
4.						0.00
5. Totals		\$ 0.00	\$ 0.00	\$ 2,237,876.00	\$ 0.00	\$ 2,237,876.00

SECTION B - BUDGET CATEGORIES

Object Class Categories	GRANT PROGRAM, FUNCTION OR ACTIVITY			Total (5)
	(1) ADMINISTRATION	(2) PROG. DELIVERY	(3) ACQ./REHAB	
a. Personnel	\$ 169,953.00	\$ 107,894.00	\$	\$ 277,847.00
b. Fringe Benefits	40,634.00	27,957.00		68,591.00
c. Travel	5,325.00	5,325.00		10,650.00
d. Equipment				0.00
e. Supplies	3,000.00	7,611.00		10,611.00
f. Contractual		75,000.00	1,790,302.00	1,865,302.00
g. Construction				0.00
h. Other	4,875.00			4,875.00
i. Total Direct Charges (sum of 6a-6h)	223,787.00	223,787.00	1,790,302.00	2,237,876.00
j. Indirect Charges				0.00
k. TOTALS (sum of 6i and 6j)	\$ 223,787.00	\$ 223,787.00	\$ 1,790,302.00	\$ 2,237,876.00

7. Program Income	\$	\$	\$	\$	\$ 0.00
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SECTION C - NON-FEDERAL RESOURCES					
(a) Grant Program	(b) Applicant	(c) State	(d) Other Sources	(e) TOTALS	
8. NSP	\$	\$	\$	\$	0.00
9.					0.00
10.					0.00
11.					0.00
12. TOTAL (sum of lines 8-11)	\$	0.00 \$	0.00 \$	0.00 \$	0.00

SECTION D - FORECASTED CASH NEEDS					
	Total for 1st Year	2nd Quarter			4th Quarter
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
13. Federal	\$ 973,307.00	\$ 150,000.00	\$ 248,307.00	\$ 275,000.00	\$ 300,000.00
14. Non-Federal	0.00				
15. TOTAL (sum of lines 13 and 14)	\$ 973,307.00	\$ 150,000.00	\$ 248,307.00	\$ 275,000.00	\$ 300,000.00

SECTION E - BUDGET ESTIMATES OF FEDERAL FUNDS NEEDED FOR BALANCE OF THE PROJECT				
(a) Grant Program	FUTURE FUNDING PERIODS (Years)			
	(b) First	(c) Second	(d) Third	(e) Fourth
16.NSP	\$ 999,267.00	\$ 175,000.00	\$ 90,302.00	\$
17.				
18.				
19.				
20. TOTAL (sum of lines 16-19)	\$ 999,267.00	\$ 175,000.00	\$ 90,302.00	\$ 0.00

SECTION F - OTHER BUDGET INFORMATION	
21. Direct Charges: Personnel, operating costs, and contractual services	22. Indirect Charges: None
23. Remarks:	

CERTIFICATIONS

- (1) **Affirmatively furthering fair housing.** The jurisdiction will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.
- (2) **Anti-lobbying.** The jurisdiction will comply with restrictions on lobbying required by 24 CFR part 87, together with disclosure forms, if required by that part.
- (3) **Authority of Jurisdiction.** The jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations and other program requirements.
- (4) **Consistency with Plan.** The housing activities to be undertaken with NSP funds are consistent with its consolidated plan, which means that NSP funds will be used to meet the congressionally identified needs of abandoned and foreclosed homes in the targeted area set forth in the grantee's substantial amendment.
- (5) **Acquisition and relocation.** The jurisdiction will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601), and implementing regulations at 49 CFR part 24, except as those provisions are modified by the Notice for the NSP program published by HUD.
- (6) **Section 3.** The jurisdiction will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u), and implementing regulations at 24 CFR part 135.
- (7) **Citizen Participation.** The jurisdiction is in full compliance and following a detailed citizen participation plan that satisfies the requirements of Sections 24 CFR 91.105 or 91.115, as modified by NSP requirements.
- (8) **Following Plan.** The jurisdiction is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.
- (9) **Use of funds in 18 months.** The jurisdiction will comply with Title III of Division B of the Housing and Economic Recovery Act of 2008 by using, as defined in the NSP Notice, all of its grant funds within 18 months of receipt of the grant.
- (10) **Use NSP funds \leq 120 of AMI.** The jurisdiction will comply with the requirement that all of the NSP funds made available to it will be used with respect to individuals and families whose incomes do not exceed 120 percent of area median income.

(11) **Assessments.** The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if NSP funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with NSP funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than NSP funds if the jurisdiction certifies that it lacks NSP or CDBG funds to cover the assessment.

(12) **Excessive Force.** The jurisdiction certifies that it has adopted and is enforcing: (1) a policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and (2) a policy of enforcing applicable State and local laws against physically barring entrance to or exit from, a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(13) **Compliance with anti-discrimination laws.** The NSP grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(14) **Compliance with lead-based paint procedures.** The activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(15) **Compliance with laws.** The jurisdiction will comply with applicable laws.

Betty Fine Collins
Betty Fine Collins

11-25-08
Date

President, Jefferson County Commission
Title